

What Really Happened With Cold Fusion and Why Is It Coming Back?

Steven B. Krivit, Editor

New Energy Times

(310) 721-5919

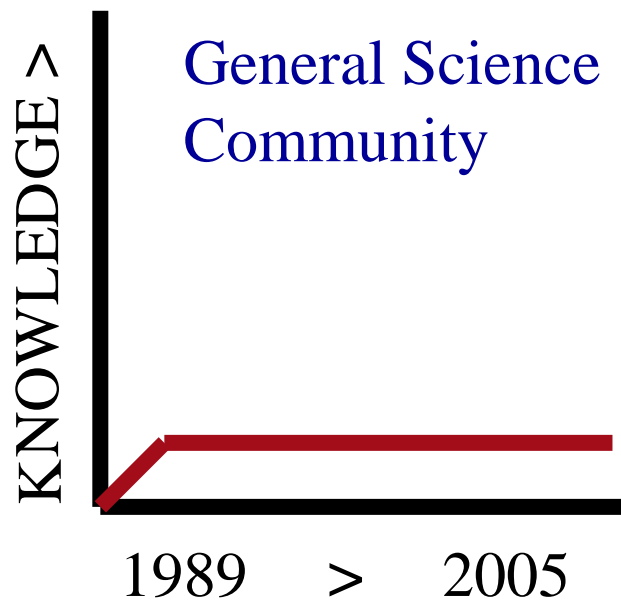
International Congress of Nanotechnology 2005

San Francisco, CA, USA, Nov. 1, 2005

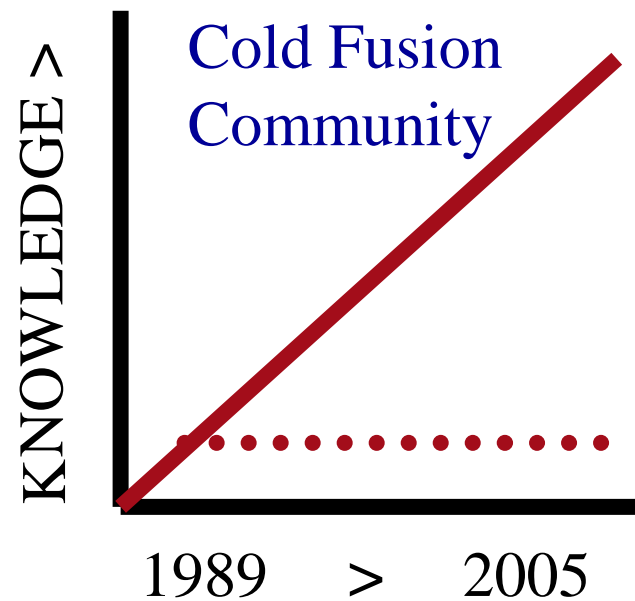
This project was made possible by the generous support of ZerØpoint®, New Energy Foundation, Wesley Bruce, Anonymous in Memory of C. Tinsley and E. Mallove, Anonymous, Sufficiently Advanced Technology Inc.

Knowledge Gap

“Cold Fusion Is Dead”

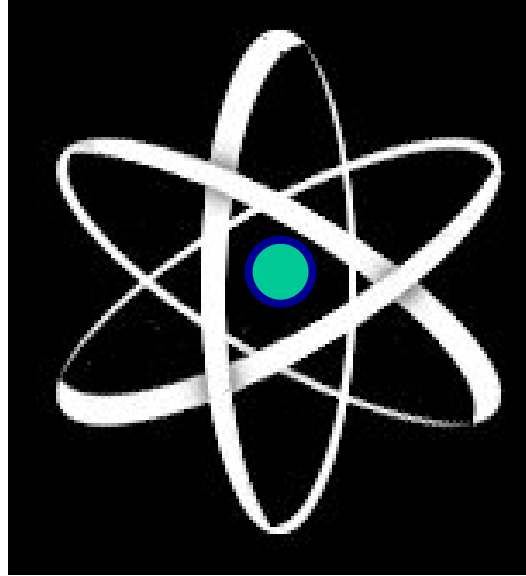


“Cold Fusion Is Alive”

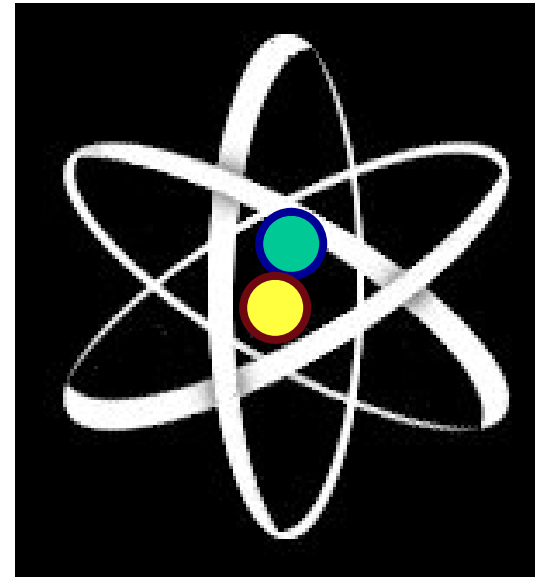


Hydrogen: Cold Fusion's Fuel

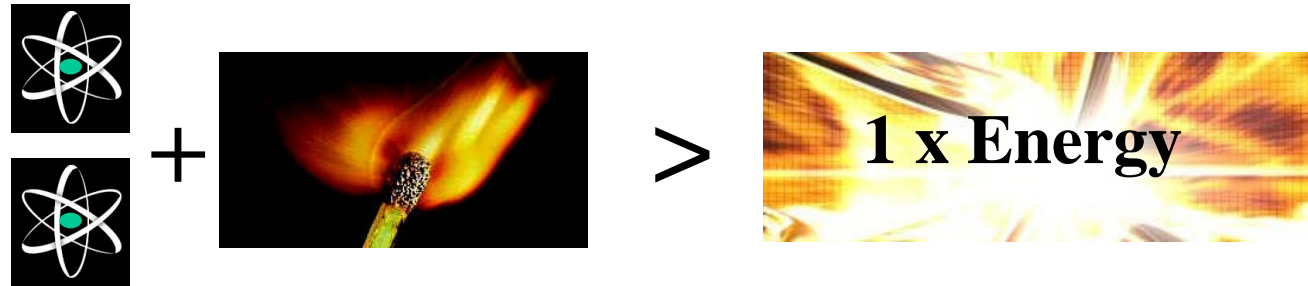
Normal Hydrogen
(one proton)



Hydrogen Isotope: Deuterium
(one proton, one neutron)

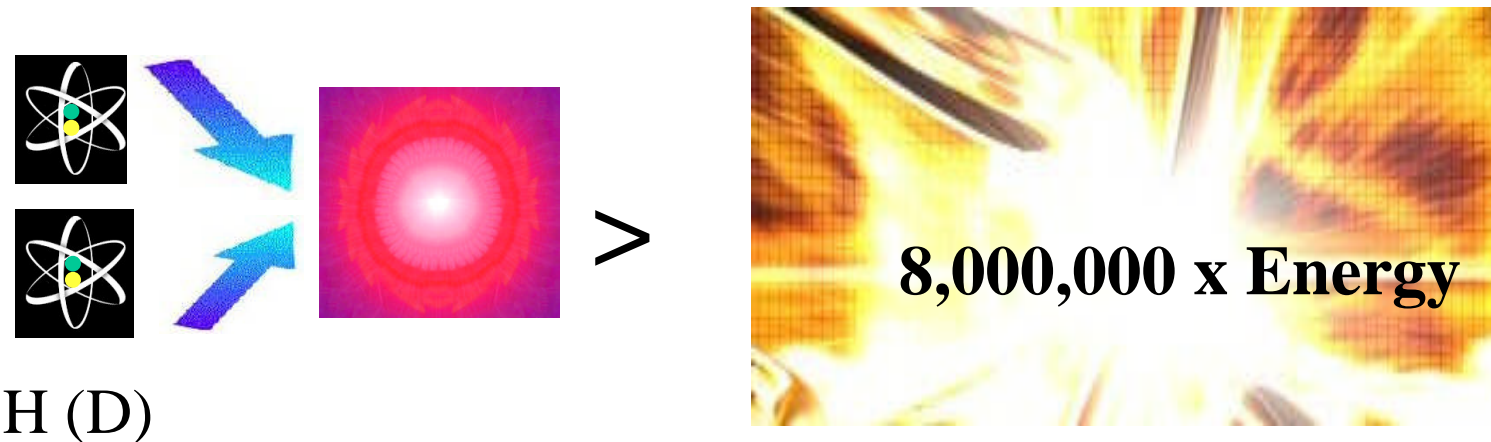


Hydrogen Energy Release



H

Chemical Reaction



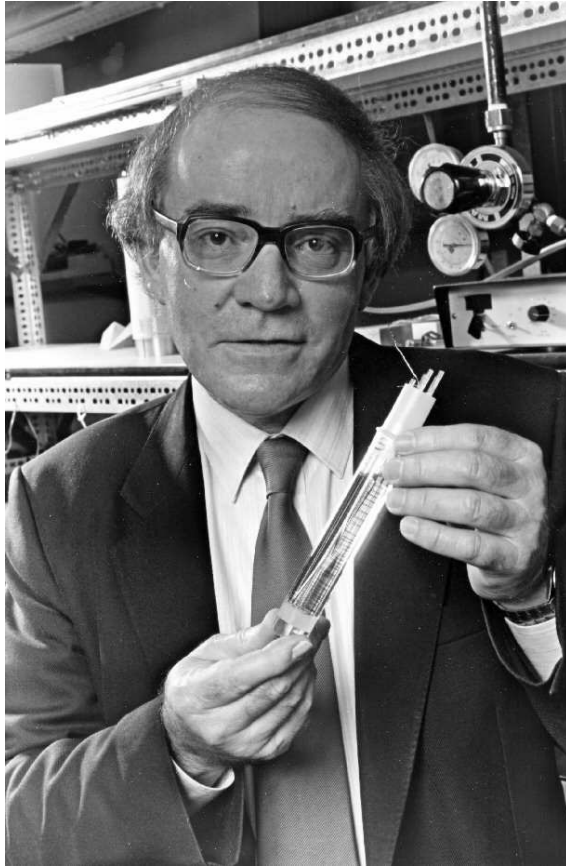
H (D)

Nuclear Fusion Reaction

Hydrogen Fusion Energy Density

One drop of heavy water contains the equivalent energy of 48 gallons of gasoline.

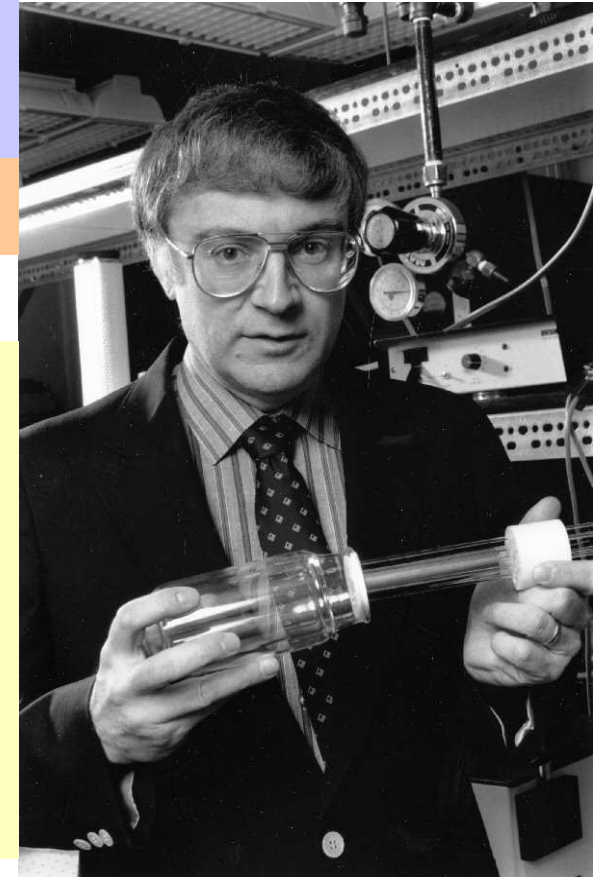
Cold Fusion Is Announced



Martin Fleischmann
University of Southampton

University of Utah Press
Conference
March 23, 1989

1. Deuterium-Deuterium Fusion Reaction
2. Low Temperature
3. Low Neutrons
4. Low Gamma



Stanley Pons
University of Utah

Cold Fusion Is Discredited and Disproved



Nathan Lewis
Caltech
“No Evidence”



Ronald R. Parker
MIT
“It’s Fraud”

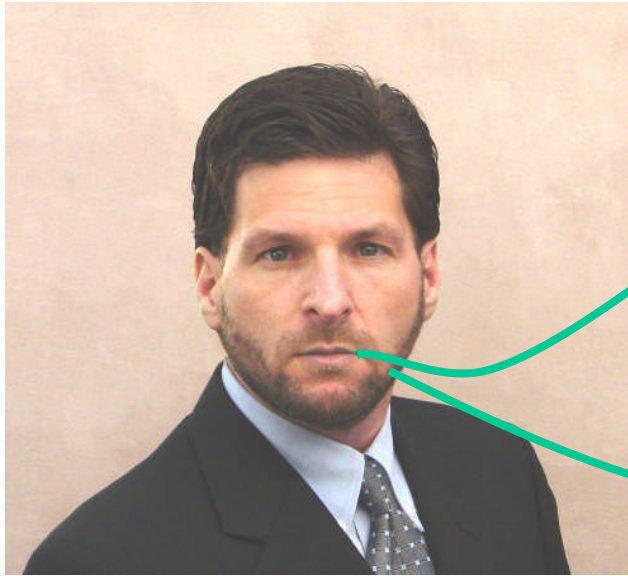


John Huizenga
1989 Dept. of Energy
Cold Fusion Panel
“Cold Fusion: The Scientific
Fiasco of the Century”


The New York Times : “The Utah claim is dead.”

May 3, 1989

The Question



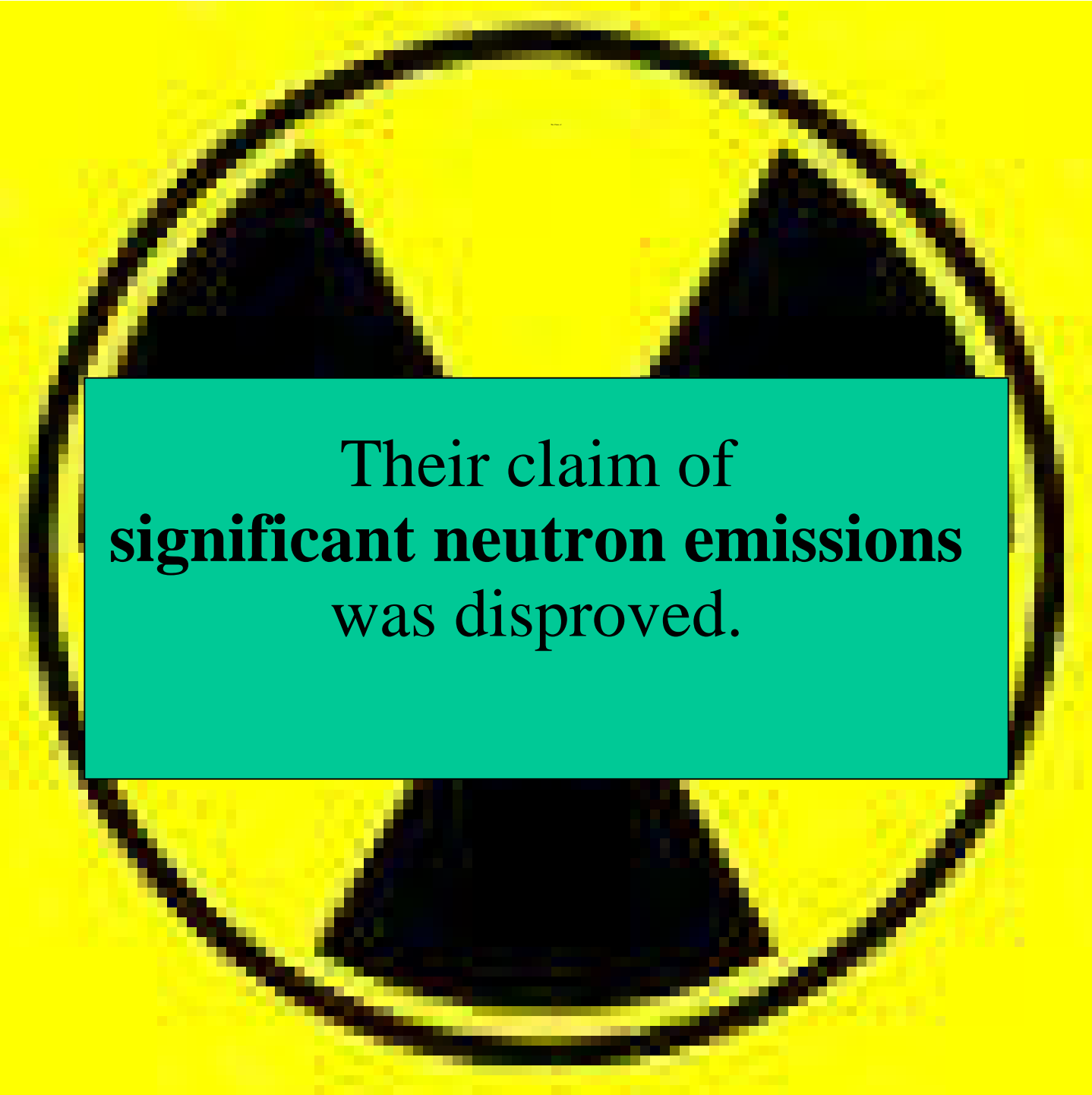
Considering this history, how
can cold fusion be considered
real?



The claim of excess energy (heat)
was never disproved.



Fleischmann and Pons' theory was wrong.



Their claim of
significant neutron emissions
was disproved.

False Negatives: Retrospective Reviews

- Eight retrospective reviews performed by 13 scientists.
- Analysis of 1989 work at Caltech, Harwell, M.I.T.
 - Interviewed Original Research Teams
 - Inspected Raw Data
- Two Types of Problems Found:
 - Sloppy calorimetry (heat measurements)
 - Experimenter bias

False Negatives: Two Trends

| | Number of Studies Reporting | | |
|----------------------------------|-----------------------------|----------|----------|
| | Caltech | M.I.T. | Harwell |
| Major Errors | 6 | 4 | 3 |
| Possible Excess Power | 3 | 2 | 1 |

Audit of Caltech Heat Measurements

Caltech “changed their calibration constant every day.”

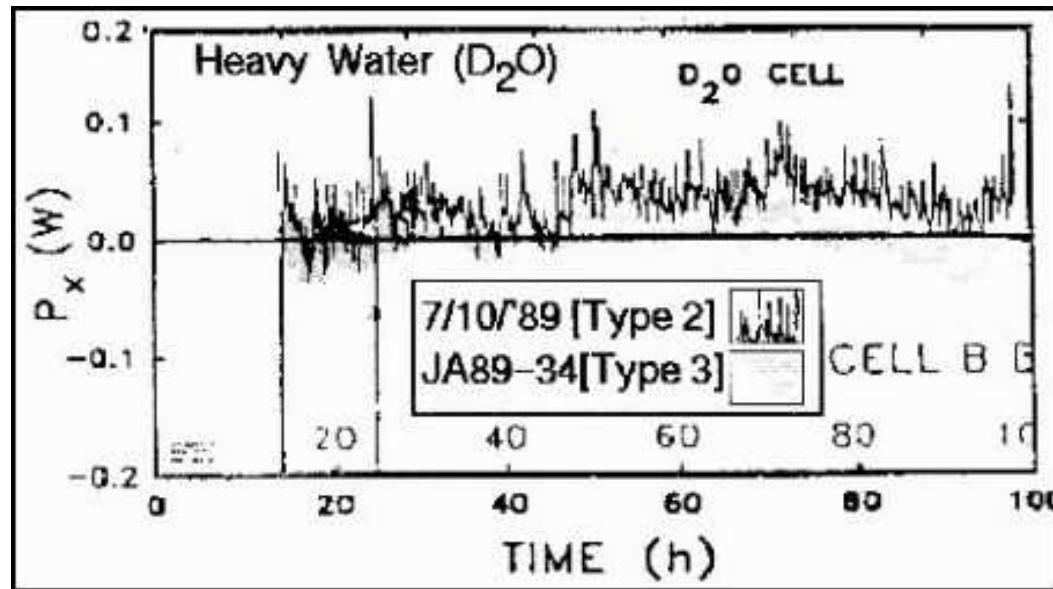


Melvin Miles
University of La Verne



Michael McKubre
SRI International

Data Adjustment at M.I.T.



Original data shows possible excess heat (unpublished report).

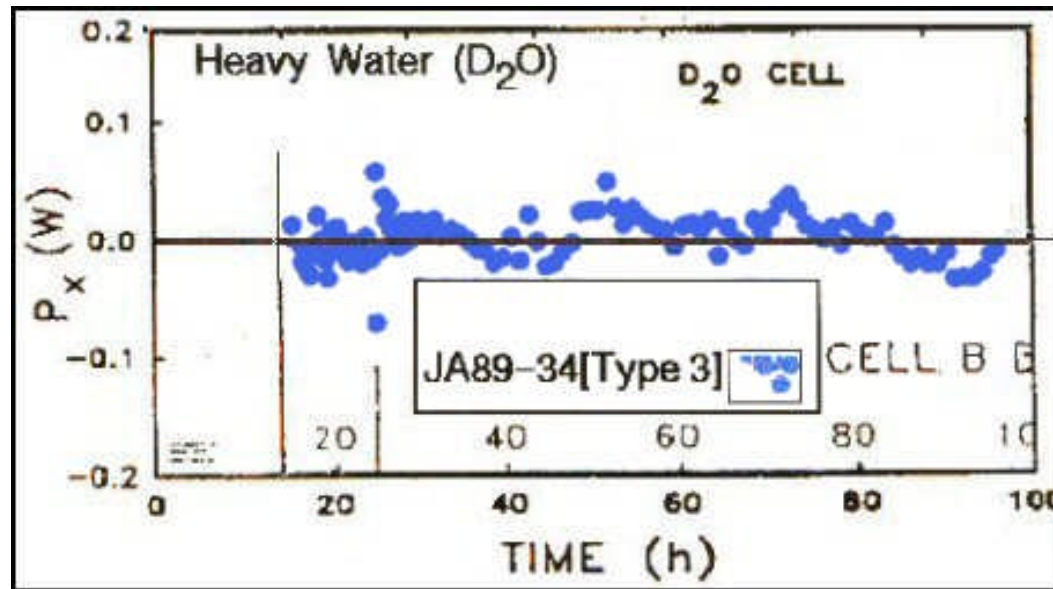


Eugene Mallove, Chief Science
Writer, MIT News Office



Philip Morrison, MIT Professor,
Manhattan Project Member

Data Adjustment at M.I.T.



Adjusted data, shown in blue, indicates zero excess heat (published report).



Eugene Mallove, Chief Science
Writer, MIT News Office



Philip Morrison, MIT Professor,
Manhattan Project Member

Conclusion of Analysts Performing Retrospective Reviews

None claimed that these laboratories showed proof of cold fusion.

- HOWEVER -

The experiments **were more likely to have replicated rather than disproved** the claims of Martin Fleischmann and Stanley Pons.

Unknown Positives: Early Confirmations

- March 25-26, 1991:

Alan J. Bard, Howard Birnbaum, Charlie Barnes

Audit SRI International cold fusion experiment;
Privately report evidence of excess heat to EPRI.

- October 19, 1993:

Richard Garwin, Nathan Lewis

Audit SRI International cold fusion experiment;
**Privately report evidence of excess heat to EPRI
and Pentagon.**

Unknown Positives: Early Confirmations

Amoco Oil - 1994



Shell Oil - 1995

Early Confirmations: Summary

| Number of Studies | Reporting |
|-------------------|---|
| 8 | Excess Power |
| 0 | Major Errors |
| 4 | Helium-4 |
| 2 | Tritium |
| 3 | A chemical origin of the excess heat was impossible |

Not a Chemical Reaction!



**Richard Garwin,
receiving presidential award**

"... on cells L3 and L4, we note that a chemical reaction involving the Pd at perhaps 1.5 eV per atom would **correspond to about 3.5 kJ of heat**; this is to be compared with the **3 Mj of "excess heat" observed**, so such an excess could not possibly be of chemical origin."

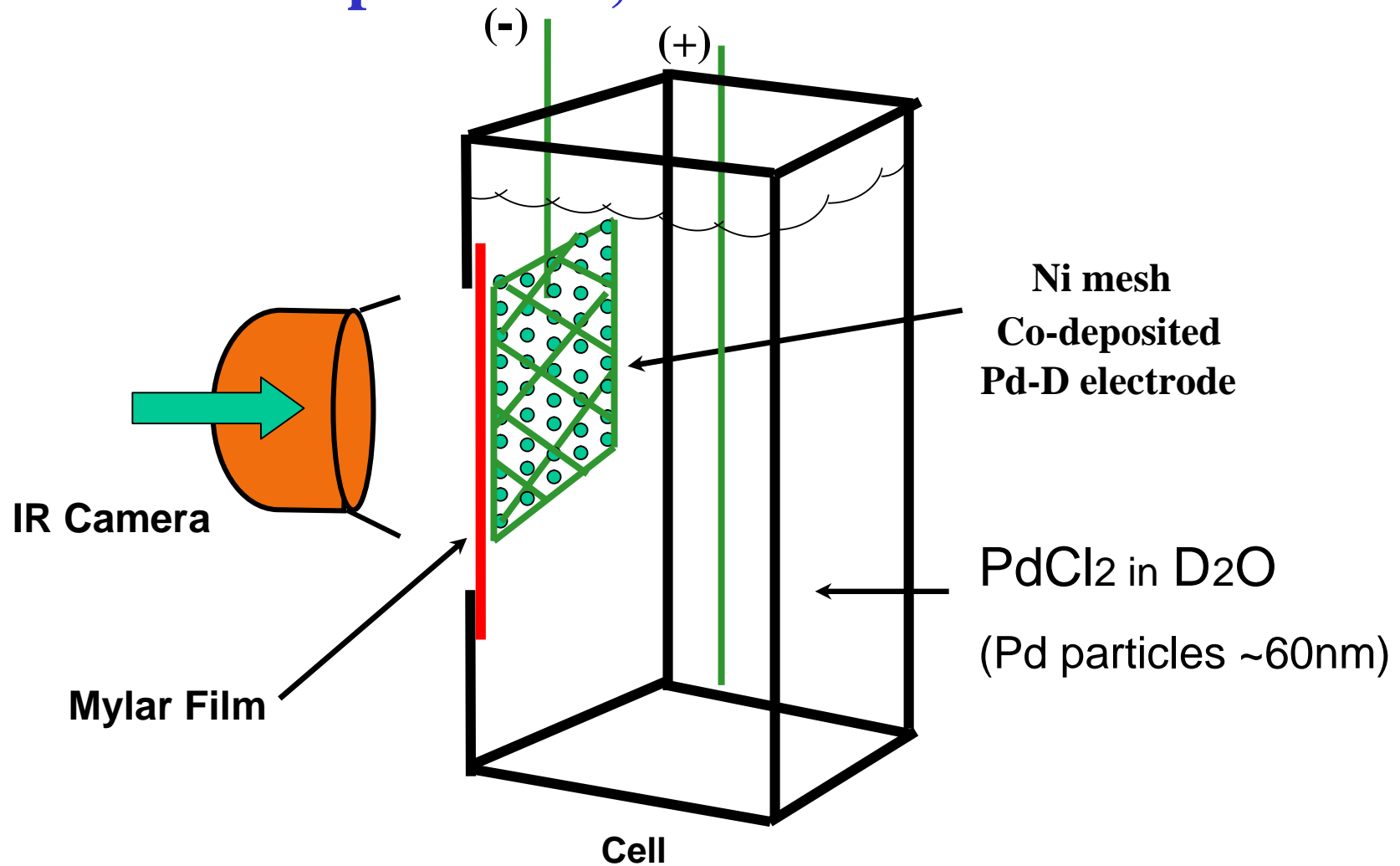
1993 Private Report to EPRI and the Pentagon

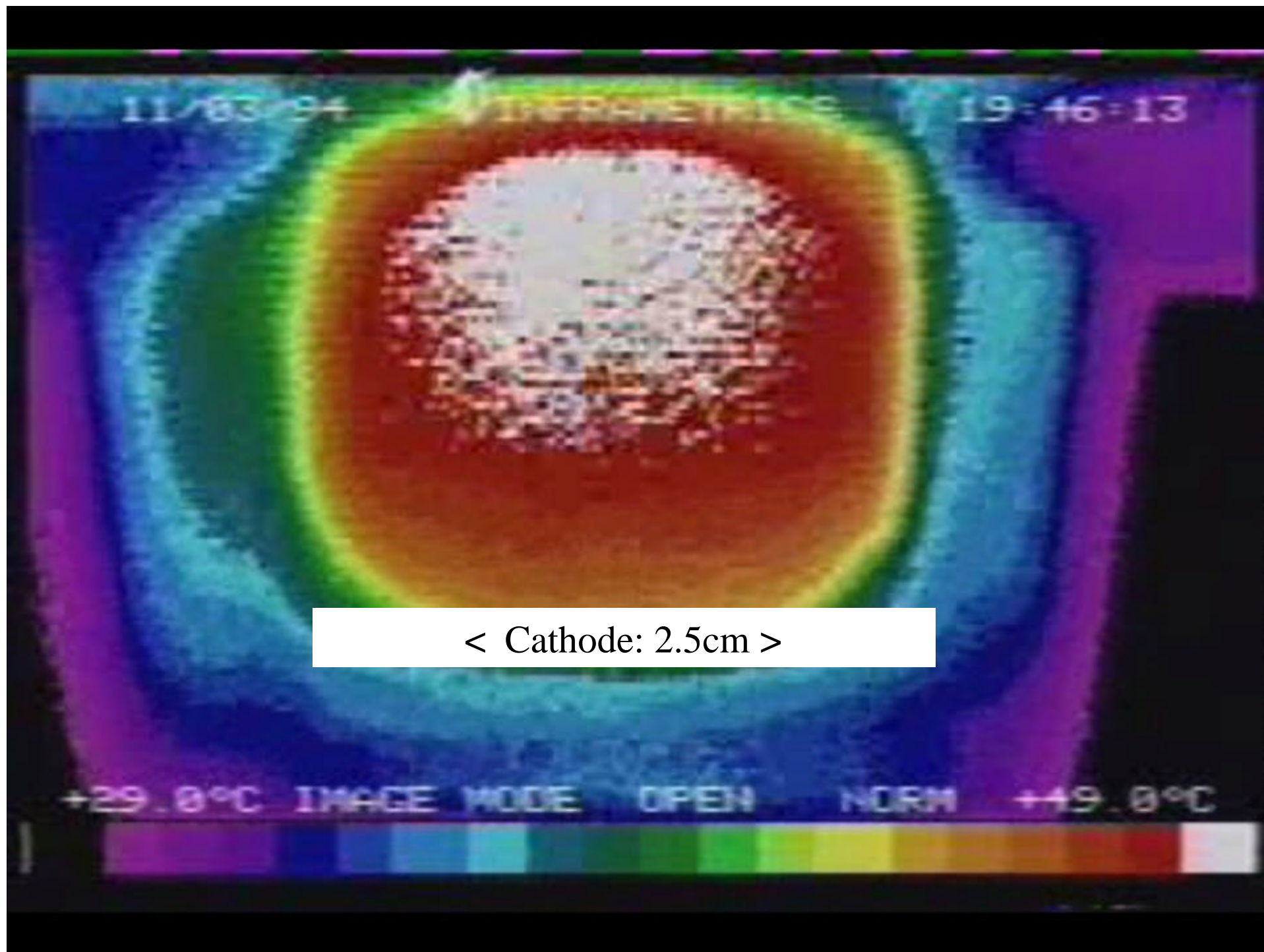
Cold Fusion Research Developments

- A Brief Sample -

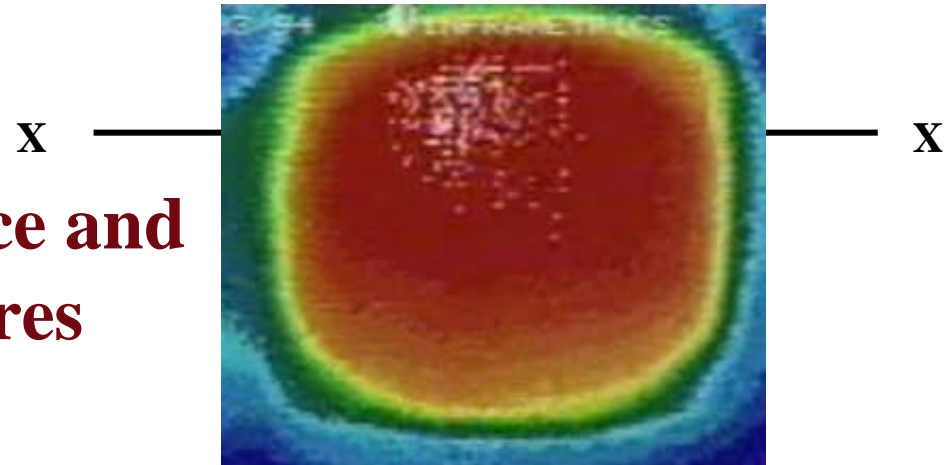
“Hot Spots” on the Palladium Cathode

Szpak et al., SPAWAR

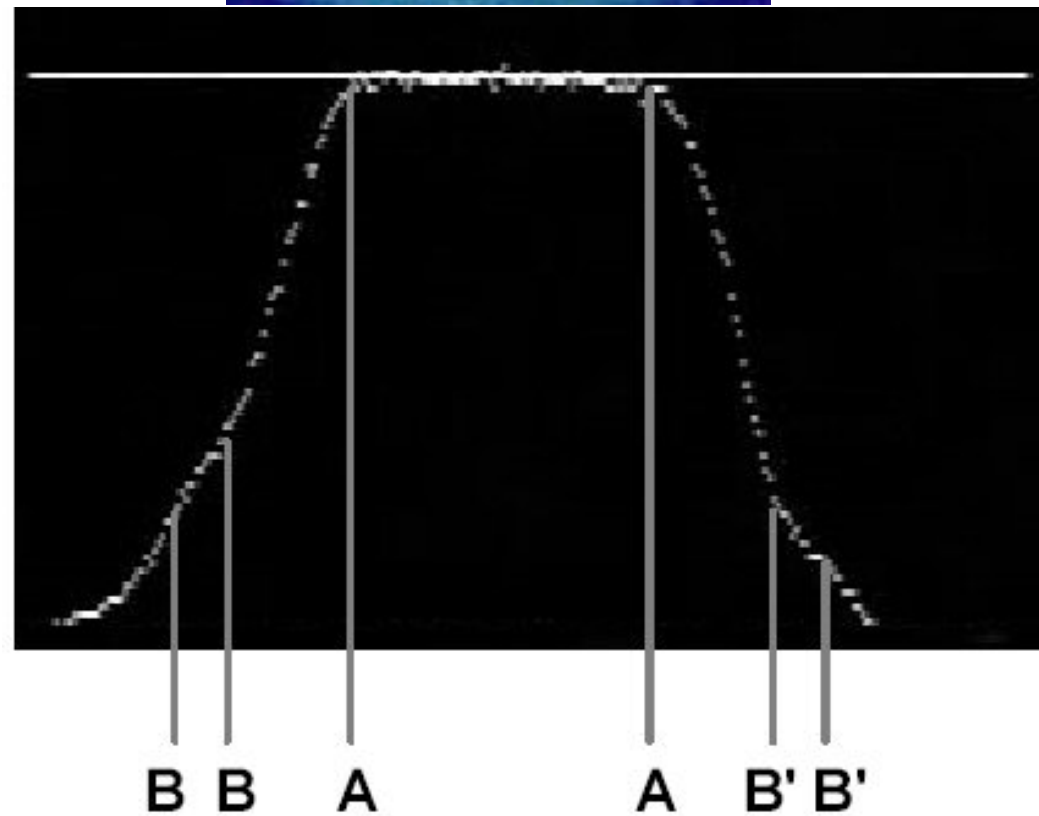




Plot of Electrode Surface and Solution Temperatures



Electrode > 60 C
Solution ~ 30 C



A = Electrode Surface T; B = Solution T

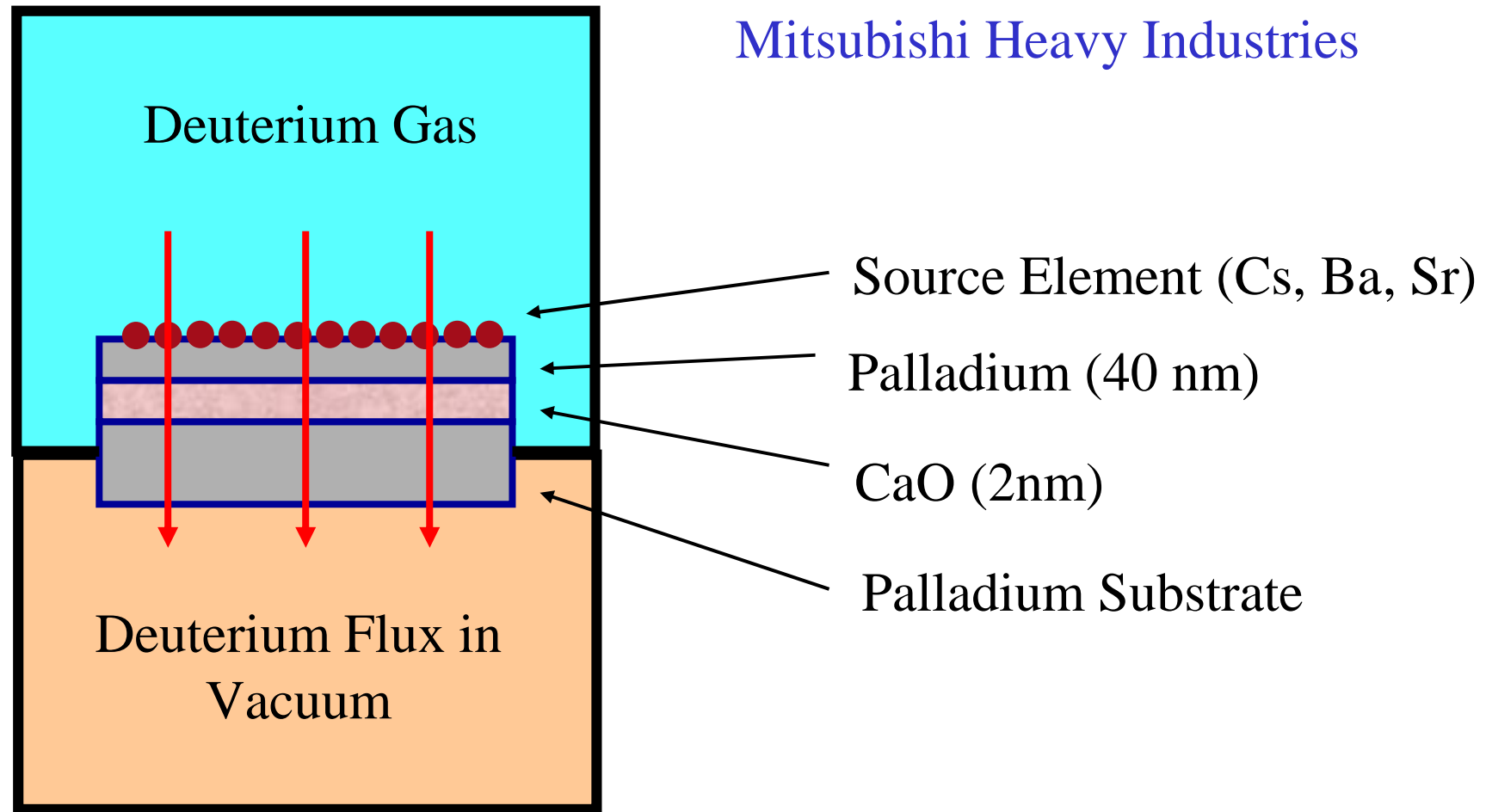
“Hot Spots” on the Palladium Cathode

Observation: Electrode is hotter than the electrolytic bath,
the opposite of Joule heating.

Significance: No known explanation exists. New,
unexplained science is revealed.

Nuclear Transmutation at Low Energies Using Gas Permeation

Iwamura et al.,
Mitsubishi Heavy Industries



Nuclear Transmutation at Low Energies Using Gas Permeation

Observation: Evidence of decreasing Cesium and generation of Praseodymium, a rare-earth element.

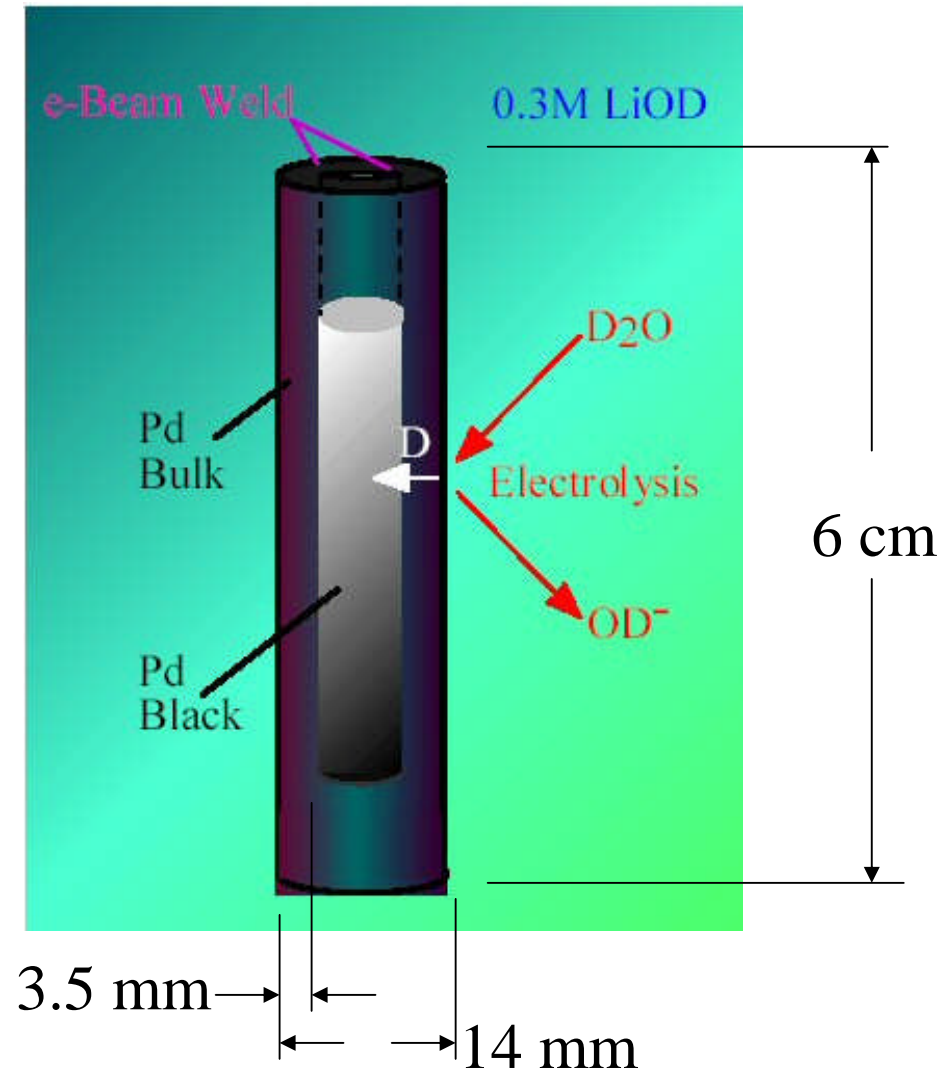
Significance: New, low-energy method for creating nuclear reactions and initiating nuclear transmutations.

Excess Energy and Helium from Double-Structure Cathode

Arata/Zhang, Osaka University

McKubre et al.
SRI International

“Pd-Black,” fine nano-powders of about 20nm diameter, are placed into void inside Pd bulk.



Excess Energy and Helium from Double-Structure Cathode

Observation:

Maximum excess power generated: 10%.

Excess energy: 64 Mj

Significance:

New source of clean nuclear energy.

Concerns About Cold Fusion

Destructive Applications or Weapons

Disruptive Technology

IAEA and UN - ???

What's Needed: Going Forward

100 % Repeatable by Non-Rocket Scientists



Higher Power Levels



Hopes and Expectations

**Cold Fusion,
also known as
Condensed Matter Nuclear Science
demonstrates the potential for:**

New Substances

New Technologies

A New Source of Clean Nuclear Energy

For Further Information:

- *The Rebirth of Cold Fusion*, by Krivit & Winocur
- ISBN 0976054582
- *Excess Heat* by Charles G. Beaudette
- ISBN 0967854830
- New Energy Times TM Web Site www.newenergytimes.com
- New Energy TimesTM Newsletter
- Cold Fusion Library: www.lenr-canr.org
- International Society of Condensed Matter Nuclear Science:
www.iscmns.org
- International Conference on Condensed Matter
– www.iccf12.org

Acknowledgments

- Eugene Mallove, for his pioneering work in cold fusion journalism.
- Charles Beaudette, author of *Excess Heat & Why Cold Fusion Research Prevailed*, 2002, 2nd Ed.
- Edmund Storms and Jed Rothwell, for the LENR-CANR.org cold fusion library.



Martin Fleischmann



Stanley Pons

Supplemental Slides

Overview of Reaction Products

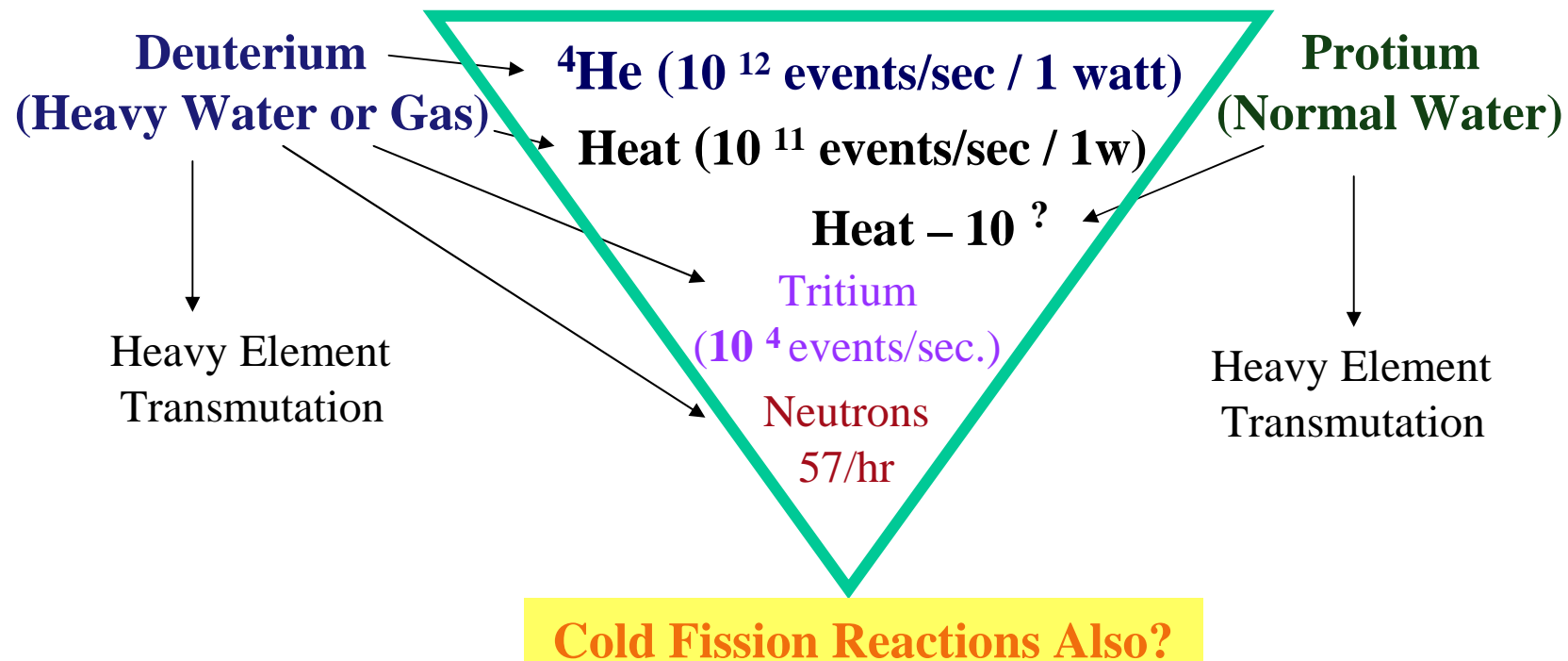
“Work in progress ... represents general agreement but not consensus.”

<http://newenergytimes.com/Library/2004StormsE-ICCF11Class-AnUpdateOfLENR.pdf>

<http://www.newenergytimes.com/Reports/TheColdFusionEffect.htm>

Cold Fusion / Condensed Matter Nuclear Science (2005)

New Energy Times



Review of Transmutation Reactions in Solids

<http://www.lenr-canr.org/acrobat/MileyGHreviewoftr.pdf>

Research performed in this field at the following institutions:

Hokkaido University, Japan - Mizuno et al., Notoya et al.
Mitsubishi Corporation, Japan - Iwamura et al.
Osaka University, Japan - Takahashi et al., Arata et al.
University of Lecce, Italy - Vincenzo et al.
Frascati Laboratory, Italy - De Ninno et al.
SIA "LUTCH", Russia - Karabut et al., Savvatimova et al.
Tomsk Polytechnical University, Russia - Chernov et al.
Lab des Sciences Nucleaires, France - Dufour et al.
Beijing University, China - Jiang et al.
Tsinghua University, China - Li et al.
University of Illinois, Urbana, USA - Miley et al.
Portland State University, USA - Dash et al.
Texas A & M University, USA - Bockris et al.
Shizuoka University, Japan - Kozima et al.
Iwate University, Japan - Yamada et al.

Selected Transmutation Studies

Iwamura, Y., et al., "Elemental Analysis of Pd Complexes: Effects of D₂ Gas Permeation,"
Jpn. J. Appl. Phys. Vol. 41 (2002) pp. 4642–4650
<http://lenr-canr.org/acrobat/IwamuraYelementalaa.pdf>

Higashiyama, T., "Replication Of MHI Transmutation Experiment..."
<http://lenr-canr.org/acrobat/Higashiyamreplicatio.pdf>

Iwamura's Presentation at ICCF-11 Short Course October 31, 2004

“Nuclear transmutation induced by deuterium permeation through the Pd complexes detected by surface and bulk analysis methods.”

<http://newenergytimes.com/Library/2004IwamuraY-ICCF11Class-NuclearTransmutation.pdf>

<http://newenergytimes.com/Library/2004IwamuraY-ICCF11Class-PdComplex.pdf>

<http://newenergytimes.com/Library/2004IwamuraY-ICCF11-TheRoleOfCaO.pdf>



Yasuhiro Iwamura,
Mitsubishi Heavy
Industries

Appendix A – False Negatives

Studies of Work That Supposedly Disproved Cold Fusion

| Year | Analysts (Qty on Team) | Cal Tech | MIT | Harwell |
|------|-------------------------|------------------|------------------|------------------|
| 1991 | 1st China Lake Team (2) | Excess Power (1) | Major Errors(1) | Major Errors(1) |
| | | Major Errors(1) | | |
| 1991 | Noninski & Noninski | | Excess Power (2) | |
| 1992 | Melich & W. Hansen | | | Excess Power (3) |
| 1993 | Noninski & Noninski | Excess Power (4) | Major Errors(4) | |
| | | Major Errors(4) | | |
| 1993 | 2nd China Lake Team (5) | Excess Power (5) | | |
| | | Major Errors(5) | | |
| 1993 | Swartz & Mallove | Major Errors(6) | Excess Power (6) | |
| 1994 | Melich & W. Hansen | Major Errors(7) | | Major Errors(7) |
| 1994 | 3rd China Lake Team (3) | Major Errors(8) | Major Errors(8) | Major Errors(8) |

Appendix A (2)

Studies of Work That Supposedly Disproved Cold Fusion

1. Miles, Melvin, et al., "Calorimetric Principles and Problems in Pd-D₂O Electrolysis, The Third International Conference on Cold Fusion," Nagoya, Japan:, Universal Academy Press, Inc., Tokyo: (1991), p. 113
2. Noninski, V.C. and Noninski, C.I., "Comments on 'measurement and analysis of neutron and gamma-ray emission rates, other fusion products, and power in electrochemical cells having palladium cathodes,' Fusion Technology, Vol. 19, (1991), p. 579
3. Melich, Michael E. and Hansen, W.N., "Some Lessons from 3 Years of Electrochemical Calorimetry, "Third International Conference on Cold Fusion," Nagoya Japan: Universal Academy Press, Inc. (1992)
4. Noninski, V.C. and Noninski, C.I., "Notes on Two Papers Claiming No Evidence for the Existence of Excess Energy During the Electrolysis of 0.1 M LiOD/D₂O with Palladium Cathodes," Fusion Technology, Vol.23, (July 1993,) p. 474
5. Miles, Melvin, et al., "Correlation of excess power and helium production during D₂O and H₂O electrolysis using palladium cathodes," Journal of Electroanalytical Chemistry, Vol. 346, (1993), p. 99
Also similarly published 1994, Fusion Technology, Vol. 25, (1994), p. 478
6. Swartz, Mitchell, "Some Lessons from Optical Examination of the PFC Phase-II Calorimetric Curves, Vol. 2," Fourth International Conference on Cold Fusion, sponsored by EPRI and the Office of Naval Research, December (1993)
7. Melich, Michael E. and Hansen, W.N., "Back to the Future, The Fleischmann-Pons Effect in 1994," Fourth International Conference on Cold Fusion, Lahaina, Maui: Electric Power Research Institute, (1993)
8. Miles, Melvin, et al., "Calorimetric principles and problems in measurements of excess power during Pd-D₂O electrolysis," Journal of Physical Chemistry, Vol. 98, (1994), p. 194

Appendix B – Unknown Positives

Early Successful Excess Power Analyses & Experiments

| Year | Analysts | Fleischmann & Pons | China Lake - U.S. Navy | Amoco Oil Co. | Shell Oil Co. | SRI International |
|------|--|----------------------------|--|--|--------------------|---|
| 1991 | Wilford Hansen (Analysis) | EP (1) Not chemistry(1) | | | | |
| 1991 | Alan J. Bard, Charlie Barnes, Howard Birnbaum (Analysis) | | | | | EP (2) No major errors (2) |
| 1993 | China Lake Team (5) (Experiment) | | EP (3) Correlated heat & Helium-4 (3) | | | |
| 1993 | Richard Garwin & Nathan Nathan Lewis (Analysis) | | | | | EP (4) No major errors (4) Not Chemistry(4) |
| 1994 | Melich & Hansen (Analysis) | EP (5) | | EP (5) Tritium (5) | | |
| 1995 | Shell Oil (DuFour, Foos, Millot) (Experiment) | | | | EP (6) He-4 (6) | |
| 1995 | Amoco Oil (Lautzenhiser, Eisner, Phelps) (Experiment) | | | EP (7) Tritium (7) Not chemistry (7) | | |

Appendix B (2)

Early Successful Excess Power Analyses & Experiments

1. Hansen, Wilford N., "Report to the Utah State Fusion/Energy Council on the Analysis of Selected Pons Fleischmann Calorimetric Data," Second Annual Conference on Cold Fusion, Como, Italy: Societa Italiana di Fisica, Bologna, Italy, (1991)
2. Bard, Alan J., Barnes, Charlie, Birnbaum, Howard, "Comments on SRI RP-3170 Review Meeting 25-26 March 1991", Unpublished private report, (1991)
3. Miles, Melvin, et al., "Correlation of excess power and helium production during D2O and H2O electrolysis using palladium cathodes," Journal of Electroanalytical Chemistry, 1993. 346: (1993), p. 99 Also similarly published Fusion Technology, Vol. 25, (1994), p. 478.
4. Garwin, Richard L., Lewis, Nathan, "Report from SRI Visit October 19, 1993," Unpublished private report, (1993)
5. Melich, Michael E., Hansen, Wilford N., "Back to the Future, The Fleischmann-Pons Effect in 1994," Fourth International Conference on Cold Fusion, Lahaina, Maui: Electric Power Research Institute, (1993)
6. Dufour, Jacques, et al., J. Foos, J.P. Millot, Shell Research/ CNAM Laboratoire des Sciences Nucléaires 2 rue Conté 75 003 Paris, 9 April 1995, Excess energy in the system Palladium/Hydrogen isotopes, Measurements of the excess energy per atom hydrogen, Listed in index as ICCF5 paper # 604, but unpublished
7. Lautzenhiser*, T., Phelps*, D.W., Eisner**, M., (* Amoco, ** University of Houston,) Cold Fusion: Report on a Recent Amoco Experiment, Amoco Production Company, Report T-90-E-02, 90081ART0082, 19, March 1990, Private Report

Energy Production: Selected Reports of Excess Heat

See Appendix C for References

| Ref | Name | Year | Max.Excess Heat | % Excess Heat | Time | Excess Energy |
|-----|---------------|------|--------------------|------------------|------------|------------------|
| 1 | Arata | 1999 | 10w | No data | 2000h | No data |
| 2 | El-Boher #56 | 2004 | 3.5w | 80% | 300h | 3.1Mj |
| 2 | El-Boher #64a | 2004 | 34w | 2500% | 17h | 1.1Mj |
| 2 | El-Boher #64b | 2004 | 32w | 1500% | 80h | 4.6Mj |
| 3 | Stringham | 2004 | 40w | No Data | No Data | No Data |
| 4 | Takahashi | 1992 | 130w | 70% | 1440h | No Data |

Appendix C

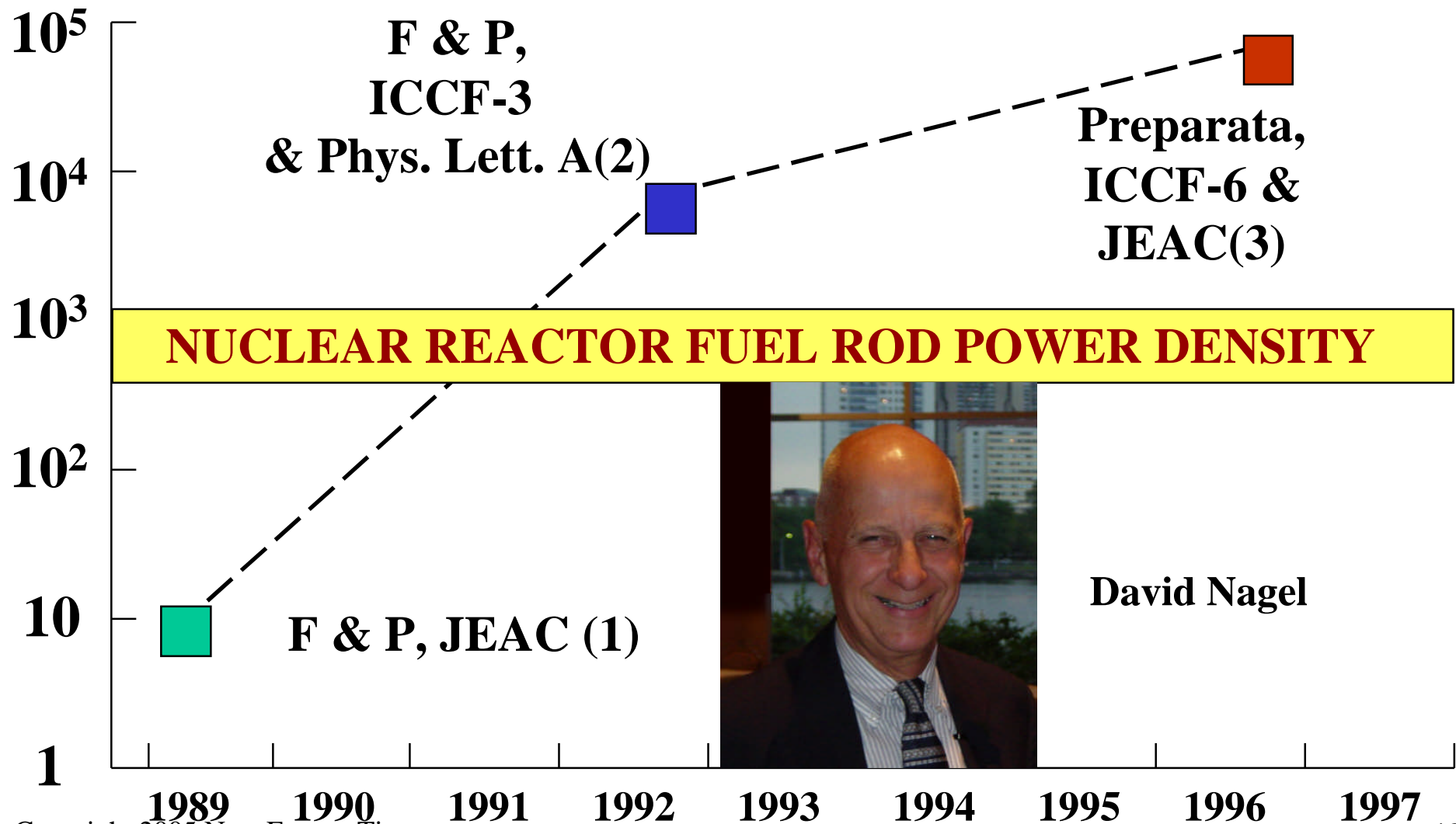
Energy Production

1. Arata, Yoshiaki, Zhang, Yue-Chang, "Anomalous production of gaseous 4He at the inside of 'DS cathode' during D_2O -electrolysis," Proc. Jpn. Acad., Ser. B, 75: p. 281 (1999)
<http://newenergytimes.com/Library/1999ArataY-AnomalousProduction.pdf>
2. El Boher et al., "Excess Heat In Electrolysis Experiments At Energetics Technologies," (to be published Proceedings of 11th International Conference on Cold Fusion, Marseilles, France, 2004)
<http://newenergytimes.com/Library/2004ElBoher-ExcessHeatInElectrolysis.pdf>
3. Stringham, R., "1.6 MHz Sonofusion Device," (to be published Proceedings of 11th International Conference on Cold Fusion, Marseilles, France, 2004)
<http://newenergytimes.com/Library/2004StringhamR-1.6MHzSonofusion.pdf>
4. Takahashi, A., et al., "Anomalous Excess Heat by D_2O /Pd Cell Under L-H Mode Electrolysis," Third International Conference on Cold Fusion, Nagoya, Japan: Universal Academy Press, Inc., Tokyo, Japan. (1992)
<http://newenergytimes.com/Library/1992TakahashiAAnomalousExcessHeat.pdf>

Cold Fusion Volumetric Power Densities

W/cm^3

See Appendix D for References



Appendix D

Cold Fusion Volumetric Power Densities

1. Fleischmann, M., S. Pons, and M. Hawkins, "Electrochemically induced nuclear fusion of deuterium," Journal of Electroanalytical Chemistry, Vol. 261, p. 301 and errata in Vol. 263 (1989)
2. Fleischmann, M. and S. Pons, "Calorimetry of the Pd-D₂O system: from simplicity via complications to simplicity," Physics Letters A, Vol. 176, (1993), p. 118
3. Preparata, Giuliano, et al., "Isoperibolic calorimetry on modified Fleischmann-Pons cells," Journal of Electroanalytical Chemistry, 411, 9 (1996)

Comparison of Hot and Cold Fusion

See Appendix E for References

| U.S. Government-Sponsored Research | Hot Fusion | Cold Fusion |
|---|---------------------------|----------------------------------|
| Years Studied | 54 | 16 |
| Estimated U.S. funding to date | \$16 Billion ¹ | \$25 Million ² |
| Committed worldwide government funding | > \$12 Billion | None |
| Experimental Qualities | | |
| Shows potential for large-scale power generation | Yes | No |
| Potential for power production at point of consumption | No (too big) | Yes |
| Demonstrates self-sustaining nuclear reaction | Never | Yes ³ |
| Peak Experimental Power Levels | | (Conservative Values) |
| Peak output power levels / Duration | 16 Megawatt / 1 Sec. | 10 watts / 2000 hrs ⁴ |
| Ratio of power out/power in (break-even =1.0) | 0.6 | > 1.1 ⁴ |
| Typical Experimental Power Levels | | (Conservative Values) |
| Typical excess power levels | 0 | 1 watt |
| Duration | n/a | 5-600 hours ⁵ |
| Fuel | | |
| Fuel required | D + T + Lithium | Deuterium |
| Dangerous and/or radioactive fuel | Yes | No |
| Commercialization Expectations | | |
| Earliest estimated commercialization | 2050 | 2010 |
| Requires power distribution grid | Yes | No |
| Potential use: fixed, mobile terrestrial, air, and space | No | Yes |
| Single point of failure for large service area | Yes | No |
| Security risk | Yes | Yes |

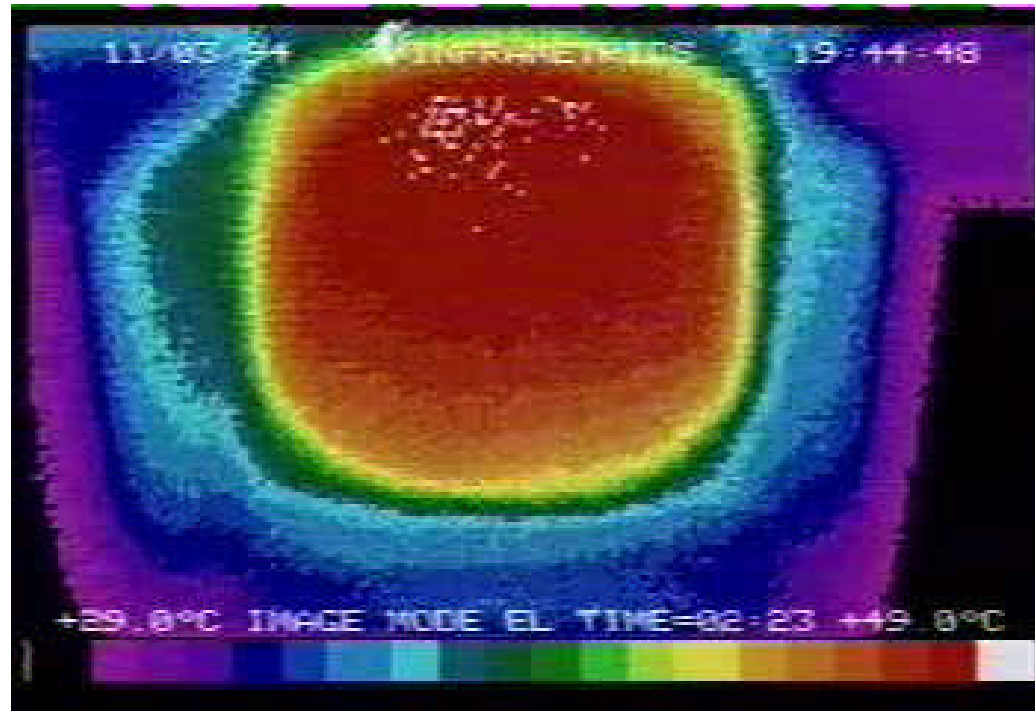
Appendix E – Fusion Compared

Comparison of Hot and Cold Fusion

1. Nagel, David J., "Fusion Physics and Philosophy," *Accountability in Research*, 8, (2000), p.137
2. Estimates based on miscellaneous reports of DARPA and Navy funding.
3. Mizuno, Tadahiko, "Nuclear Transmutation: The Reality of Cold Fusion," Infinite Energy Press, Bow, New Hampshire, (1998); Fleischmann, Martin, and Pons, Stanley, "Calorimetry of the Pd-D₂O system: from simplicity via complications to simplicity," *Physics Letters A*, V. 176 (1993), p. 118; Miles, Melvin, et al., "Thermal Behavior of Polarized Pd/D Electrodes Prepared by Co-Deposition," The Ninth International Conference on Cold Fusion, Beijing, China, (2002); Szpak, Stan, et al., "Thermal Behavior of Polarized Pd/D Electrodes Prepared by Co-deposition," *Thermochimica Acta*, Vol. 410, p. 101, (2004)
4. NOTE: The listed value of 10 watts is conservative. Arata, Yoshiaki, Zhang, Yue-Chang, "Anomalous production of gaseous 4He at the inside of 'DS cathode' during D₂O-electrolysis," *Proc. Jpn. Acad.*, Ser. B, 75: p. 281 (1999); Arata, Yoshiaki, Zhang, Yue-Chang, "A new energy caused by 'Spillover-deuterium,'" *Proc. Jpn. Acad.*, Ser. B, 70 ser. B: p. 106, (1994); Takahashi, A., et al. Anomalous Excess Heat by D₂O/Pd Cell Under L-H Mode Electrolysis in Third International Conference on Cold Fusion, "Frontiers of Cold Fusion". 1992. Nagoya Japan: Universal Academy Press, Inc., Tokyo, Japan.
5. Storms, Edmund, "A Critical Review of the "Cold Fusion" Effect", *Journal of Scientific Exploration*, 10, #2, p. 185, (1996)

Hot Spots on Cathodes

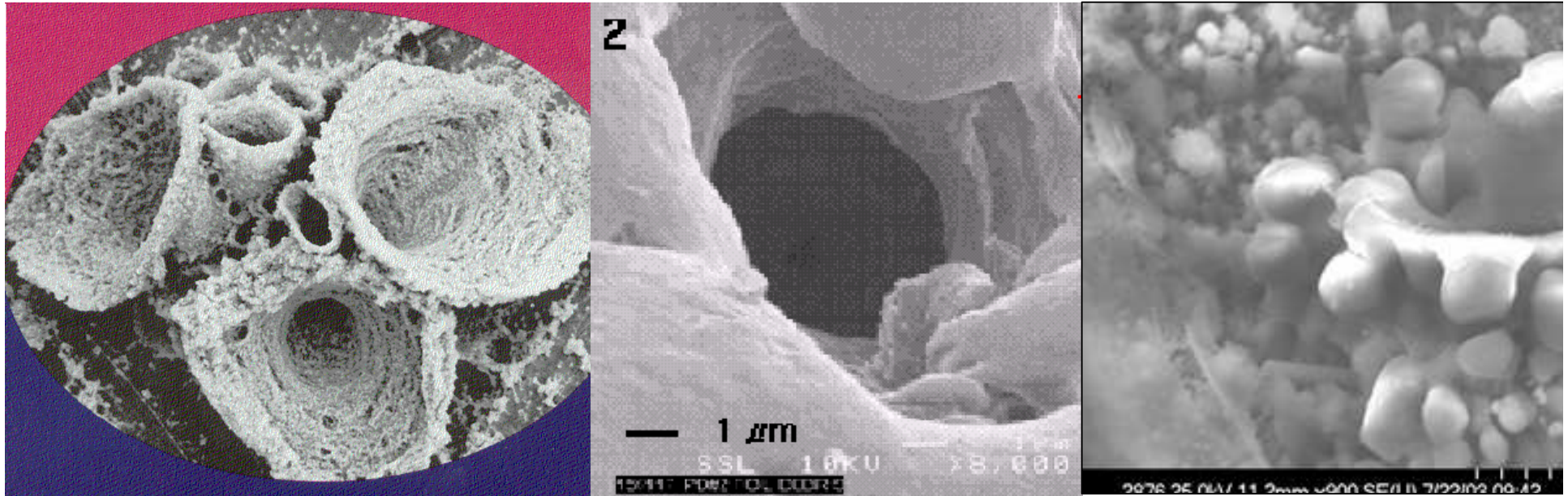
Calculations by David Nagel, Research professor; George Washington University
Specialist in micro- and nano-technologies



S. Szpak, P. A. Mosier-Boss, J. Dea and F. Gordon
SPAWAR Systems Center (ICCF-10 in 2003)

Release of 1 Mev in a cube of Pd 100 nm on a side gives a temperature (T) rise of
 $\Delta T = 380 \text{ K}$ using $3 k \Delta T/2$ as the increase in vibrational energy, or
 $\Delta T = 55 \text{ K}$ using the specific heat for Pd = 26 J/K mole

Craters in Cathodes



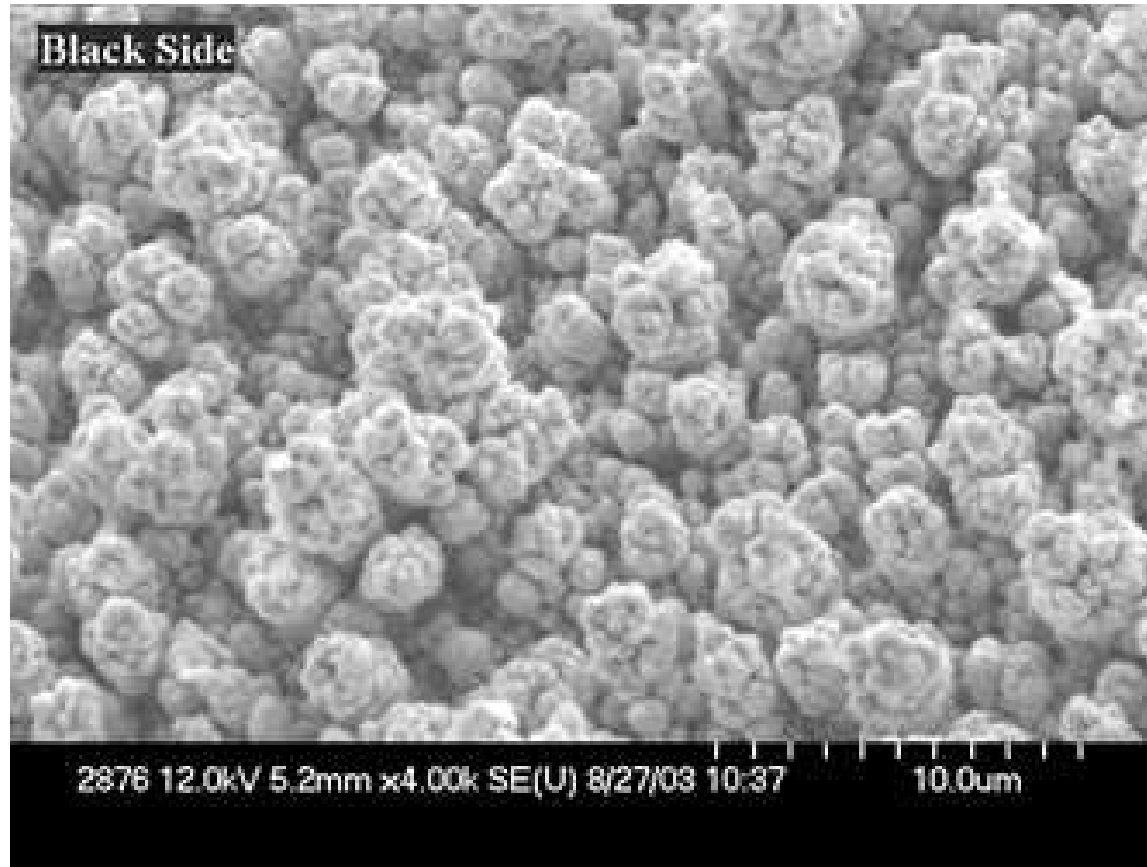
Mizuno

Stringham

Szpak

Chemical energies are insufficient to cause the craters that have been observed on cathode surfaces in many “cold fusion” experiments

Szpak et al., Morphology Changes (BEFORE)

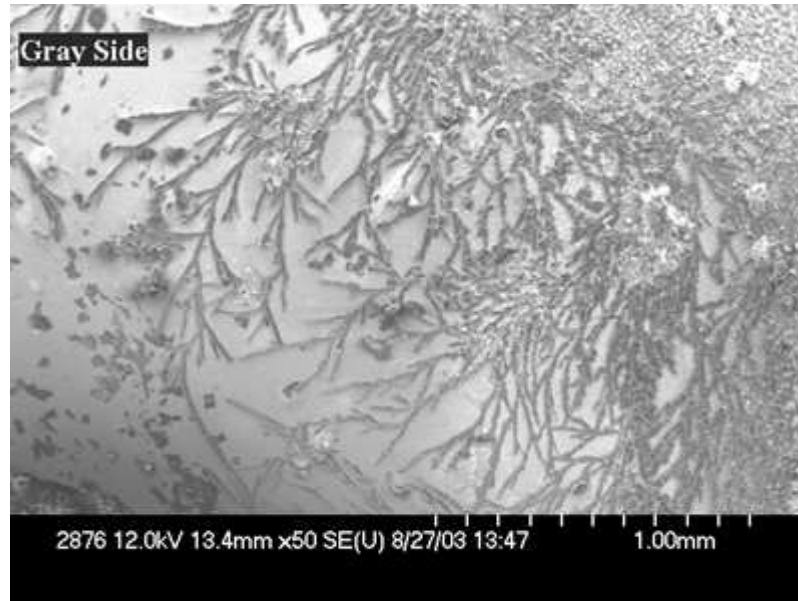


**Pd/D structure before
Application of external
Electric field.**

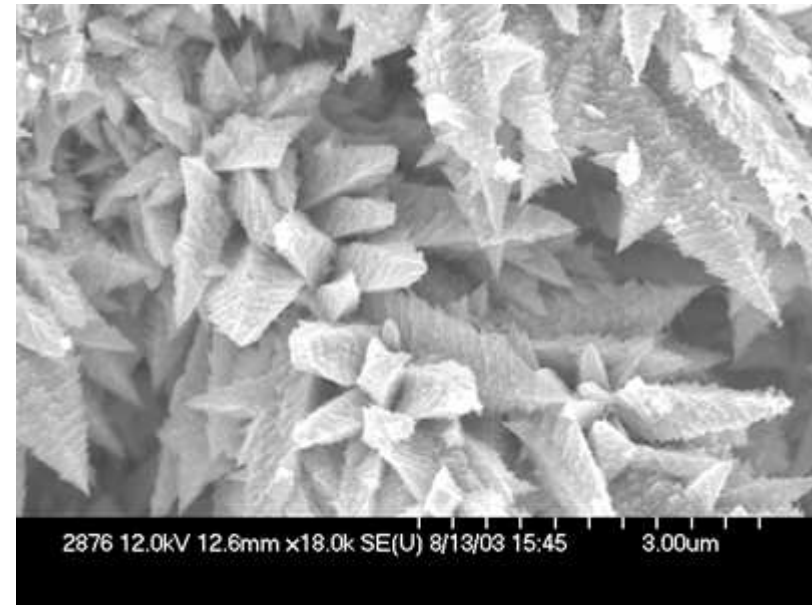
**Shows uniform,
'cauliflower-like'
morphology
of globules**

Szpak et al., Morphology Changes (AFTER)

**Formation of fractals
(branches)**



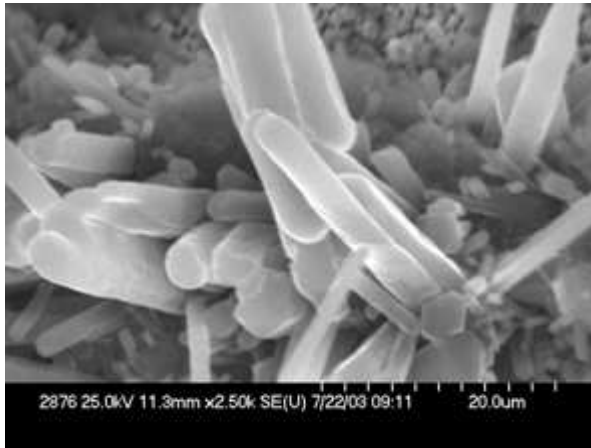
Production of dendritic growth



These features are the result of the combined action of:

- (1) Current flow through a porous structure**
- (2) Evolving deuterium**
- (3) The electric field on the separated micro-globules suspended in the electrolyte and restricted by the porous structure**

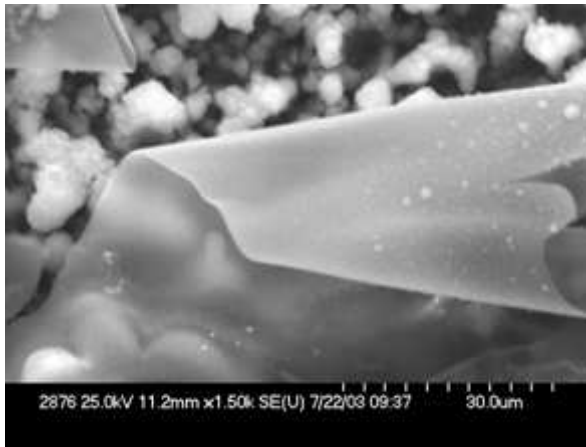
Szpak et al., Morphology Changes (AFTER)



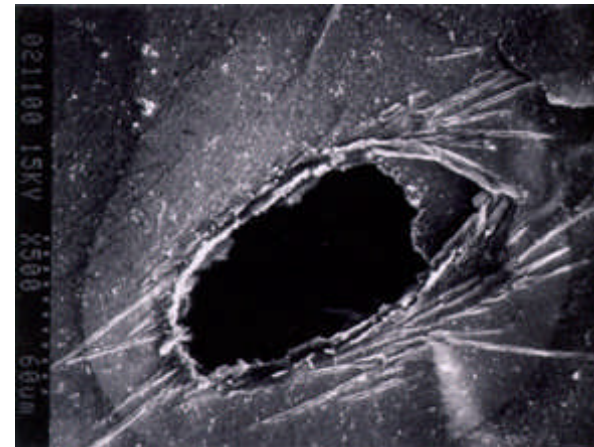
Rods (circular and square)



Long wires



Folded thin film



Crater

Worldwide Effort

- 200+ Researchers
 - Primarily from University and Government Labs
- 13 Countries
- 3,000+ Papers
- 16 years
- 12 International Conferences, 5 in Italy, 12 in Russia, 6 in Japan